

Application of an extended unified Schottky-Poole-Frenkel model to high-k capacitor structures used in analog integrated circuit technology

W.S. Lau  
 Nanyang Technological University  
 Block S2.1, Nanyang Avenue, Singapore 639798

In this abstract, the author points out that the Poole-Frenkel (P-F) and Schottky emission mechanisms actually can happen simultaneously and a unified Schottky-Poole-Frenkel model can be used to explain a lot of existing experimental data. Further extension of the model can be used to explain the I-V characteristics of high-k capacitor structures used in analog integrated circuit technology much more satisfactorily than the model without extension.

For the P-F mechanism, the leakage current through an insulator is given by

$$J_{PF} = B E \exp\left\{ \left[ \phi_B - \left( \frac{qE}{\pi \epsilon_0 K_{PF}} \right)^{1/2} \right] / \left( \frac{kT}{q} \right) \right\} \quad (1)$$

In equation (1), B is a constant while E,  $\phi_B$ , k, T, q,  $\epsilon_0$  and  $K_{PF}$  are the electric field, barrier height of defect state, Boltzmann constant, absolute temperature, electronic charge, vacuum permittivity and the dielectric constant for the P-F effect.

Beside the P-F effect, leakage current can also be due to Schottky emission. For the Schottky emission mechanism, the leakage current through an insulator is given by

$$J_{SK} = A^{**} T^2 \exp\left\{ \left[ \phi_B - \left( \frac{qE}{4\pi \epsilon_0 K_{SK}} \right)^{1/2} \right] / \left( \frac{kT}{q} \right) \right\} \quad (2)$$

In equation (2),  $A^{**}$  is Richardson constant while E,  $\phi_B$ , k, T, q,  $\epsilon_0$  and  $K_{SK}$  are the electric field, barrier height at metal-insulator interface, Boltzmann constant, absolute temperature, electronic charge, vacuum permittivity and the dielectric constant for the Schottky effect.

In this abstract, the author proposes a unified Schottky-Poole-Frenkel model, as shown in Fig. 1. The I-V characteristics of RNL in the proposed model is represented by eq. (1) which applies to all bias voltages and so is independent of bias voltage polarity. The I-V characteristics of the Schottky diodes D1 and D2 in the proposed model is not symmetrical but instead it has a highly conductive “forward” I-V characteristics and a much less conductive “reverse” characteristics. Eq. (2)

represents the reverse characteristics of Schottky diodes D1 and D2 for relatively larger reverse bias voltages.



Fig. 1 A capacitor structure involving a high-k dielectric can be thought as two back-to-back Schottky diodes D1 and D2 with a non-linear resistor RNL in between.

The above theory can be extended if RNL is considered as a parallel combination of RNL1 and RNL2; RNL1 is governed by the P-F mechanism as shown in Eq. (1) while RNL2 comes from electrons injected by one of the 2 metal contacts. Fig. 2 shows the experimental data for Ta/Ta<sub>2</sub>O<sub>5</sub>/Ta capacitors used in analog integrated circuit technology from Tsai et al.<sup>1</sup> The extended unified Schottky-Poole-Frenkel theory can be shown to explain the experimental results in Fig. 2 much more satisfactorily than the theory without extension.

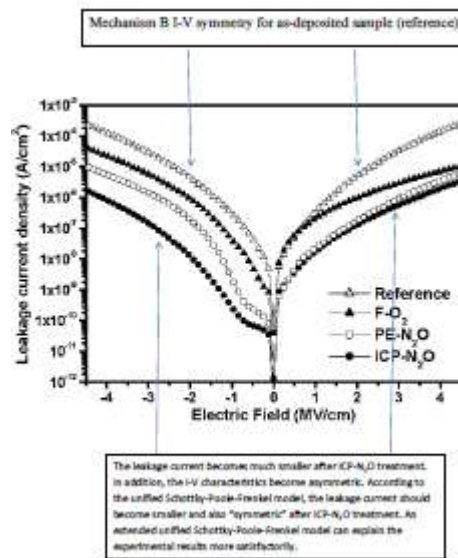


Fig. 2 Current density plotted against the electric field for Ta/Ta<sub>2</sub>O<sub>5</sub>/Ta capacitors. The original data are from Tsai et al 2007.<sup>1</sup>

REFERENCES

[1] K.-C. Tsai et al., *J. Electrochem. Soc.*, **154**, H512 (2007).