

## Defect Thermodynamics and Diffusion Mechanisms in $\text{Li}_2\text{CO}_3$ and Implications for the Solid Electrolyte Interphase in Li-Ion Batteries

Yue Qi<sup>1,\*</sup>, Siqu Shi<sup>2,3</sup>, Hong Li<sup>4</sup>, Louis G. Hector, Jr.<sup>1</sup>

<sup>1</sup>General Motors R&D Center, Warren, MI 48090, USA

<sup>2</sup>School of Engineering, Brown University, Providence, RI 02912, USA

<sup>3</sup>School of Materials Science and Engineering, Shanghai University, Shanghai 200444, China

<sup>4</sup>Institute of Physics, Chinese Academy of Sciences, Beijing, 100190, China

### Abstract

Understanding and improving Li transport through crystalline  $\text{Li}_2\text{CO}_3$ , a stable component of the solid electrolyte interphase (SEI) films in Li-ion batteries, is critical to battery rate performance, capacity drop and power loss. Identification of the dominant diffusion carriers and their diffusion pathways in SEI films coated on anode and cathode surfaces is a necessary step towards the development of methods to increase Li conductivity. Therefore, we first predicted the dominant Li diffusion carriers in  $\text{Li}_2\text{CO}_3$  over a voltage range (0 to 4.4V) by computing and comparing all seven Li-associated point defect thermodynamics with density functional theory (DFT). The main diffusion carriers in  $\text{Li}_2\text{CO}_3$  below 0.98V are excess Li ion interstitials ( $\text{Li}_i^+$ ), however, above 3.98V, Li ion vacancies ( $V_{\text{Li}}^-$ ) become the dominant diffusion carrier type; and they have the same concentration in the voltage range of 0.98~3.98V. While the  $V_{\text{Li}}^-$  diffuses through *direct hopping*, the  $\text{Li}_i^+$  diffuses by *knocking off* the  $\text{Li}^+$  ion from a lattice. Although most  $\text{Li}_i^+$  and  $V_{\text{Li}}^-$  diffuse independently, they can form a Li Frenkel pair with correlated diffusion when their distance is close to 3~5Å on the same (100) plane. Based on the concentration and diffusion barriers, the Li conductivity in  $\text{Li}_2\text{CO}_3$  is mapped as a function of battery voltage and it is found to vary by ~7 orders of magnitude. Since the diffusion is limited by the concentration of diffusion carriers, various dopants were suggest to increasing the concentration of the main diffusion carriers (at different voltages) in order to enhance Li transport through  $\text{Li}_2\text{CO}_3$  (and SEI) and improve battery rate performance. A possible mechanism is also proposed to explain electron leakage through an SEI film into the electrolyte by the diffusion of  $\text{Li}_i^0$  interstitials, which can exist at low voltage. The methods employed in this study can be generalized to other solid electrolyte or Li ionic conductors.